

Risks and Mitigation Strategies

1. BASIC RISK INFORMATION					2. RISK ASSESSMENT INFORMATION				3. RISK RESPONSE INFORMATION		
Risk Number	Risk Description / Risk Event Statement	Responsible	Date Reported day-month-year	Last Update day-month-year	Impact H / M / L	Probability H / M / L	Timeline N/M/F	Status of Response N / P / PE / EE	Completed Actions / Notes	Planned Future Actions	Risk Status Open / Closed / Moved to Issue
Provide a unique identifier for risk	A risk event statement states (i) what might happen in the future and (ii) its possible impact on the project. "Weather" is not a risk event statement. "Bad weather may delay the project" is a risk event statement.	Name or title of team member responsible for risk	Enter the date the risk was first reported	Enter the date the risk (not the entire log) was updated	Enter here H (High); M (Medium); or L (Low) according to impact definitions	Enter here H (High) M (Medium) or L (Low) according to probability definitions	Enter here N (Near-term); M (Medium-term); or F (Far-term) according to timeline definitions	Enter here N (No Plan); P (Plan but not enacted); PE (Plan enacted but effectiveness not yet known); EE (Plan enacted and effective)	List, by date, all actions taken to respond to the risk. This does not include assessing the risk	List, by date, what will be done in the future to respond to the risk	State if the risk is open (still might happen and still has to be managed); closed (has passed or has been successfully mitigated); moved to issue (risk has happened)
R 1	Improper exposure of personal health information.	State HIE Core Services Sub-Recipient Organization - HINAz	31-Aug-2010	15-May-2012	H	M	M	P	5/15/2012: House Bill 2369 enforcement to be determined.	Reviewing operational policies of HINAz as recently awarded sub-recipient.	Open

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R 2	The approach to consent in the State of Arizona is outstanding. In 2008, legislation was proposed favoring an "opt-out" approach to electronic health information consent. However, the legislation was not passed due to inconclusive statewide strategy for health information exchange at that time.	Arizona Health-e Connection	31-Aug-2010	15-May-2012	M	M	M	P	5/15/2012: Risk Closed	Continue to monitor consent and focus education targeted to consumers.	Closed

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R 3	A participant agreement must be developed for distribution and stakeholder buy-in. The participant agreement should address all legalities associated with implementation of a statewide HIE to minimize the liability to all Arizona stakeholders.	State HIE Core Services Sub-Recipient Organization - HINAz	31-Aug-2010	15-May-2012	M	M	M	P	5/15/2012: Risk Closed	Continue to monitor operational implementation and share with other providers.	Closed
R 4	Implementing an HIE platform that is not financially viable for long term sustainability.	State HIE Core Services Sub-Recipient Organization - HINAz	31-Aug-2010	15-May-2012	H	M	N	PE	5/15/2012: Sustainability plan part of submission for HIE Core Services contract RFP.	Working with sub-recipient on developing more comprehensive sustainability plan.	Open